

Thermal corpuscular black holes

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June 15, 2015

Abstract

We study the corpuscular model of an evaporating black hole consisting of a specific quantum state for a large number N of self-confined bosons. The single-particle spectrum contains a discrete ground state of energy m (corresponding to toy gravitons forming the black hole), and a gapless continuous spectrum (to accommodate for the Hawking radiation with energy $\omega > m$). Each constituent is in a superposition of the ground state and a Planckian distribution at the expected Hawking temperature in the continuum. We first find that, assuming the Hawking radiation is the leading effect of the internal scatterings, the corresponding N -particle state can be collectively described by a single-particle wave-function given by a superposition of a total ground state with energy $M = Nm$ and a Planckian distribution for $E > M$ at the same Hawking temperature. From this collective state, we compute the partition function and obtain an entropy which reproduces the usual area law with a logarithmic correction precisely related with the Hawking component. By means of the horizon wave-function for the system, we finally show the backreaction of modes with $\omega > m$ reduces the Hawking flux. Both corrections, to the entropy and to the Hawking flux, suggest the evaporation properly stops for vanishing mass, if the black hole is in this particular quantum state.

1 Introduction

Recently Dvali and Gomez [1] proposed the idea that a black hole can be modelled as a Bose-Einstein condensate (BEC) of marginally bound, self-interacting gravitons. In fact, these bosons can superpose in a single small region of space, effectively giving rise to a gravitational well, whose depth is proportional to the total number of constituents. Furthermore, in the mean field picture, the Bogoliubov modes that become degenerate and nearly gapless, represent the holographic quantum degrees of freedom responsible for the black hole entropy [2] and the information storage [3]. Among the merits of this model, there is thus the fact that it provides the new perspective of describing the Hawking radiation as an emission of quanta which are already present in the system, rather than

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being created out of the semiclassical vacuum. The result in Refs. [1, 3] have thus triggered a number of developments [4, 5, 6, 7, 8, 9] and possible cosmological implications were also investigated in Refs. [10, 11, 12, 13]. However, the lack of a geometrical description of the black hole space-time makes it difficult to assess the presence of a horizon in this model.

A possible way to make contact with the usual point-of-view of general relativity was later proposed [8], using the formalism of Refs. [14]. This approach can in principle be applied to any quantum state and introduces the idea of a “fuzzy” gravitational radius R_H at the quantum level, starting from the Einstein equation that determines the classical Misner-Sharp mass for spherically symmetric systems and therefore determines the location of trapping surfaces. For example, if a particle is in superposition of many energy eigenstates, to each eigenstate there will correspond a different value of R_H , with a probability amplitude given by the corresponding spectral coefficient. The particle’s size could then be smaller than the mean value of R_H with finite probability. Simple evaluations showed that only particles with a mass of the order of the Planck scale or larger, are likely to appear in a black-hole state. Moreover, for astrophysical masses, the relative uncertainty in the horizon size can be acceptably small for semiclassical black holes provided the system is made of a large number N of very light condensed bosons [8]. In fact, in this case the horizon relative uncertainty decreases with N , which supports the idea that large BECs of gravitons at the critical point can be viewed as semiclassical black holes in the large- N limit.

More specifically, in Ref. [8], we considered two possible candidates for the quantum state representing a black hole and its Hawking radiation: one in which the Hawking quanta have a Gaussian distribution and one with Boltzmann distribution in energy. Unlike the former, the latter state explicitly contains the Hawking temperature, but cannot be used beyond perturbation theory (see Appendix A). Hence, in this work we shall consider yet a different quantum state for the BEC and Hawking radiation, namely we shall assume each component in the BEC has a Planckian probability amplitude to be in an excited state. This choice does not suffer from the drawback of the Boltzmann distribution, and will allow us to connect nicely with the standard thermodynamic picture, and predict the vanishing of the specific heat for small (possibly vanishing) black hole mass. Finally, it will also let us estimate the backreaction of the emitted quanta by determining the horizon wave-function and mean gravitational radius of the system, and show that the Hawking flux vanishes at (vanishingly) small mass, as one should expect from energy conservation.

We shall start by briefly reviewing the original model of Refs. [1] in the next section, and then build a specific quantum N -particle state that could refine their results in section 3. In fact, we shall show that such a state entails the Bekenstein-Hawking entropy with a logarithmic correction and Hawking flux of outgoing particles in section 4. Section 5 is instead devoted to the calculation of the expectation value of the gravitational radius of the system, and the (small) deviation from the expected classical values will be used to estimate the backreaction of the Hawking flux. Finally, we will conclude with some comments in section 6.

2 Black holes as BECs

Before we describe the specific quantum state we shall use to model an evaporating black hole, let us review the basics of the model of Refs. [1]. The whole construction starts from the assumption that the gravitational interaction, approximated by the Newtonian potential

$$V_N(r) \simeq -\frac{G_N M}{r}, \quad (2.1)$$

should be strong enough to confine the gravitons themselves inside a finite volume. If so, the gravitons will acquire an effective mass m related to their characteristic quantum-mechanical size via the Compton/de Broglie wavelength $\lambda_m \simeq \hbar/m = \ell_p m_p/m$. In fact, N gravitons could superpose in a spherical volume of approximate radius λ_m , and total energy $M = N m$. Within this Newtonian approximation, the effective gravitational coupling constant is thus given by $\alpha = |V_N(\lambda_m)|/N \simeq \ell_p^2/\lambda_m^2 = m^2/m_p^2$, and the average potential energy per graviton can be estimated as

$$U \simeq m V_N(\lambda_m) \simeq -N \alpha m . \quad (2.2)$$

If we finally assume the gravitons are “marginally bound”, so that

$$E_K + U \simeq 0 , \quad (2.3)$$

where $E_K \simeq m$ is the graviton kinetic energy, we obtain the “maximal packing” condition

$$N \alpha \simeq 1 , \quad (2.4)$$

which imply that the effective graviton mass and total mass of the black hole scale like

$$m \simeq \frac{m_p}{\sqrt{N}} \quad \text{and} \quad M = N m \simeq \sqrt{N} m_p . \quad (2.5)$$

The horizon’s size, namely the Schwarzschild radius

$$R_H = 2 \ell_p \frac{M}{m_p} , \quad (2.6)$$

is therefore of the order of the de Broglie length $\lambda_m \simeq \ell_p m_p/m$ of the gravitons and is quantised as commonly expected [2], that is

$$R_H \simeq \sqrt{N} \ell_p . \quad (2.7)$$

For our purpose, it is of particular importance that the Hawking radiation and its negative specific heat spontaneously result from the quantum depletion of the condensate for the states satisfying Eq. (2.3). At first order, reciprocal $2 \rightarrow 2$ scatterings inside the condensate will give rise to a depletion rate

$$\Gamma \sim \frac{1}{N^2} N^2 \frac{1}{\sqrt{N} \ell_p} , \quad (2.8)$$

where the factor $N^{-2} \sim \alpha^2$, the second factor is combinatoric (there are about N gravitons scattering with other $N - 1 \simeq N$ gravitons), and the last factor comes from the characteristic energy of the process $\Delta E \sim m$. The amount of gravitons in the condensate will then decrease according to [1]

$$\dot{N} \simeq -\Gamma \simeq -\frac{1}{\sqrt{N} \ell_p} + O(N^{-1}) . \quad (2.9)$$

As explained in Refs. [1], this emission of gravitons reproduces the purely gravitational part of the Hawking radiation and contributes to the shrinking of the black hole according to the standard results

$$\dot{M} \simeq m_p \frac{\dot{N}}{\sqrt{N}} \sim -\frac{m_p}{N \ell_p} \sim -\frac{m_p^3}{\ell_p M^2} . \quad (2.10)$$

From this flux one can read off the “effective” Hawking temperature

$$T_H \simeq \frac{m_p^2}{8 \pi M} \sim m \sim \frac{m_p}{\sqrt{N}} , \quad (2.11)$$

where the last expression is precisely the approximate value we shall use throughout.

3 BEC with thermal quantum hair

Like in Ref. [8], we start by considering a system of N scalar particles, $i = 1, \dots, N$, whose dynamics is determined by a Hamiltonian H_i . We do not need to specify the latter, and will refer to such bosons as the “toy gravitons”. Since we want our particles to be marginally bound according to Eq. (2.3), we assume the single-particle Hilbert space contains the discrete ground state $|m\rangle$, defined by

$$\hat{H}_i |m\rangle = m |m\rangle , \quad (3.1)$$

and a gapless continuous spectrum of energy eigenstates $|\omega_i\rangle$, such that

$$\hat{H}_i |\omega_i\rangle = \omega_i |\omega_i\rangle , \quad (3.2)$$

with $\omega_i > m$. This continuous spectrum is meant to reproduce the depleted toy gravitons that will escape the BEC. Each particle is then assumed to be in a state given by a superposition of $|m\rangle$ and the continuous spectrum, namely

$$|\Psi_S^{(i)}\rangle = \frac{|m\rangle + \gamma_1 |\psi^{(i)}\rangle}{\sqrt{1 + \gamma_1^2}} , \quad (3.3)$$

where $\gamma_1 \geq 0$ is a real parameter that weights the relative probability amplitude for each particle to be in the continuum rather than ground state.

In Ref. [8], we considered both a Gaussian and a Boltzmann distribution for the continuum. Since the Boltzmann distribution leads to inconsistent results for general values of γ_1 (see Appendix A), we instead assume a Planckian distribution at the temperature $T_H = m$ [from Eq. (2.11)] for the continuum part, namely

$$\begin{aligned} |\psi^{(i)}\rangle &= \frac{\mathcal{N}_H}{m^{3/2}} \int_m^\infty d\omega_i \frac{(\omega_i - m)}{\exp\left\{\frac{\omega_i - m}{m}\right\} - 1} |\omega_i\rangle \\ &\equiv \mathcal{N}_H \int_0^\infty d\mathcal{E}_i G(\mathcal{E}_i) |\mathcal{E}_i\rangle , \end{aligned} \quad (3.4)$$

where the normalisation $\mathcal{N}_H = \sqrt{3}/\sqrt{\pi^2 - 6\zeta(3)} \simeq 1.06$, we introduced the dimensionless variables

$$\mathcal{E}_i = \frac{\omega_i - m}{m} , \quad (3.5)$$

and the states $|\mathcal{E}_i\rangle = m^{1/2} |\omega_i\rangle$, such that the identity in the continuum can be written as

$$\int_0^\infty d\mathcal{E}_i |\mathcal{E}_i\rangle \langle \mathcal{E}_i| = \int_m^\infty d\omega_i |\omega_i\rangle \langle \omega_i| = \mathbb{I} . \quad (3.6)$$

Since we assume that this “thermal hair” arises because of the scatterings among the scalars inside the BEC, the parameter γ_1 should be related to the toy gravitons self-coupling α , and therefore vanish for $\alpha \rightarrow 0$ or $N \rightarrow 0$. This in turn would mean that in principle γ_1 should also depend on N , for example if the maximal packing condition (2.4) remains satisfied along the evaporation (see Ref. [9] for a more detailed study of the scatterings inside the BEC). We however prefer to keep it as a free variable in this work, so that one could relate it *a posteriori* to known features of the Hawking radiation, at least in the large N limit.

In the following, we shall just assume that $\gamma_1 \neq 0$ yields a sufficiently good approximation of the leading effects due to these bosons self-interactions and therefore treat the BEC as made of otherwise free scalars. The total wave-function of the system of N such bosons will correspondingly be approximated by the totally symmetrised product

$$|\Psi_N\rangle \simeq \frac{1}{N!} \sum_{\{\sigma_i\}} \left[\bigotimes_{i=1}^N |\Psi_S^{(i)}\rangle \right] , \quad (3.7)$$

where $\sum_{\{\sigma_i\}}^N$ denotes the sum over all of the $N!$ permutations $\{\sigma_i\}$ of the N terms inside the square brackets. Upon expanding in powers of γ_1 , we obtain the exact expression

$$\begin{aligned} |\Psi_N\rangle &\simeq \frac{1}{N!} \sum_{\{\sigma_i\}} \left[\bigotimes_{i=1}^N |m\rangle \right] \\ &+ \gamma_1 \frac{\mathcal{N}_H}{N!} \sum_{\{\sigma_i\}} \left[\bigotimes_{i=2}^N |m\rangle \otimes \int_0^\infty d\varepsilon_1 G(\varepsilon_1) |\varepsilon_1\rangle \right] \\ &+ \gamma_1^2 \frac{\mathcal{N}_H^2}{N!} \sum_{\{\sigma_i\}} \left[\bigotimes_{i=3}^N |m\rangle \otimes \int_0^\infty d\varepsilon_1 G(\varepsilon_1) |\varepsilon_1\rangle \otimes \int_0^\infty d\varepsilon_2 G(\varepsilon_2) |\varepsilon_2\rangle \right] \\ &+ \dots \\ &+ \gamma_1^J \frac{\mathcal{N}_H^J}{N!} \sum_{\{\sigma_i\}} \left[\bigotimes_{i=J+1}^N |m\rangle \bigotimes_{j=1}^J \int_0^\infty d\varepsilon_j G(\varepsilon_j) |\varepsilon_j\rangle \right] \\ &+ \dots \\ &+ \gamma_1^N \frac{\mathcal{N}_H^N}{N!} \sum_{\{\sigma_i\}} \left[\bigotimes_{i=1}^N \int_0^\infty d\varepsilon_i G(\varepsilon_i) |\varepsilon_i\rangle \right] , \end{aligned} \quad (3.8)$$

where we omitted the overall normalisation constant of $1/(1 + \gamma_1^2)^{N/2}$ for the sake of simplicity.

Since we are effectively including the interaction into terms proportional to powers of γ_1 , the spectral decomposition of this N -particle state can be obtained by defining the total Hamiltonian simply as the sum of N single-particle Hamiltonians,

$$\hat{H} = \bigoplus_{i=1}^N \hat{H}_i . \quad (3.9)$$

The corresponding eigenvector for the discrete ground state with $M = N m$ is thus defined by

$$\hat{H} |M\rangle = M |M\rangle , \quad (3.10)$$

and the eigenvectors for the continuum by

$$\hat{H} |E\rangle = E |E\rangle . \quad (3.11)$$

The spectral coefficients are then computed by projecting $|\Psi_N\rangle$ on these eigenvectors. For $E = M = N m$, again neglecting an overall normalisation factor, we obtain

$$C(M) \simeq \frac{1}{N!} \langle M | \sum_{\{\sigma_i\}} \left[\bigotimes_{i=1}^N |m\rangle \right] = 1 , \quad (3.12)$$

as expected. For energies above the ground state, $E > M = N m$, they are likewise given by

$$C(E > M) = \langle E | \Psi_N \rangle , \quad (3.13)$$

and it is again convenient to employ the dimensionless variables (3.5), and further introduce

$$\mathcal{E} = \frac{E - M}{m} , \quad (3.14)$$

which lead to

$$\begin{aligned} C(\mathcal{E} > 0) &\simeq \gamma_1 \mathcal{N}_H G(\mathcal{E}) \\ &+ \gamma_1^2 \mathcal{N}_H^2 \int_0^\infty G(\mathcal{E}_1) G(\mathcal{E} - \mathcal{E}_1) d\mathcal{E}_1 \\ &+ \dots \\ &+ \gamma_1^N \mathcal{N}_H^N \int_0^\infty G(\mathcal{E}_1) d\mathcal{E}_1 \times \dots \times \int_0^\infty G(\mathcal{E}_N) d\mathcal{E}_N \delta\left(\mathcal{E} - \sum_{i=1}^N \mathcal{E}_i\right) \\ &\equiv \sum_{n=1}^N \gamma_1^n C_n(\mathcal{E}) , \end{aligned} \quad (3.15)$$

where all the coefficients in this expansion can be written as

$$C_n = \mathcal{N}_H^n \int_0^\infty G(\mathcal{E}_1) d\mathcal{E}_1 \times \dots \times \int_0^\infty G(\mathcal{E}_{n-1}) d\mathcal{E}_{n-1} G\left(\mathcal{E} - \sum_{i=1}^{n-1} \mathcal{E}_i\right) . \quad (3.16)$$

We then note that each integral in \mathcal{E}_i is peaked around $\mathcal{E}_i = 0$, so that we can approximate

$$G\left(\mathcal{E} - \sum_{i=1}^{n-1} \mathcal{E}_i\right) = \frac{\mathcal{E} - \sum_{i=1}^{n-1} \mathcal{E}_i}{\exp\left\{\mathcal{E} - \sum_{i=1}^{n-1} \mathcal{E}_i\right\} - 1} \simeq \frac{\mathcal{E}}{\exp\{\mathcal{E}\} - 1} = G(\mathcal{E}) , \quad (3.17)$$

for $2 \leq n \leq N$. We thus find

$$C_n \simeq \mathcal{N}_H \left(\mathcal{N}_H \frac{\pi^2}{6}\right)^{n-1} G(\mathcal{E}) = (1.75)^{n-1} \mathcal{N}_H G(\mathcal{E}) . \quad (3.18)$$

Upon rescaling

$$\gamma \simeq 0.57 \sum_{j=1}^N (1.75 \gamma_1)^j , \quad (3.19)$$

and switching back to dimensionful variables, we immediately obtain

$$C(E > M) \simeq \gamma \frac{\mathcal{N}_H}{\sqrt{m}} \frac{(E - M)/m}{\exp\{(E - M)/m\} - 1} . \quad (3.20)$$

This approximation was checked numerically to work extremely well for a wide range of N (see Appendix B).

The result (3.20) means that we can collectively describe the quantum state of our N -particle system as the (normalised) single-particle state

$$|\Psi_S\rangle \simeq \frac{|M\rangle + \gamma |\psi\rangle}{\sqrt{1 + \gamma^2}} , \quad (3.21)$$

where

$$|\psi\rangle = \frac{\mathcal{N}_H}{\sqrt{m}} \int_M^\infty dE \frac{(E - M)/m}{\exp\{(E - M)/m\} - 1} |E\rangle . \quad (3.22)$$

In other words, from the energetic point of view, the BEC black hole effectively looks like one particle of very large mass $M = Nm$ in a superposition of states with “Planckian hair”. If we wanted to have most of the toy gravitons in the ground state, we could just assume that $\gamma \ll 1$. However, nothing prevents one from also considering the above approximate wave-function for $\gamma \simeq 1$ or even larger.

4 Partition function and entropy

It is now straightforward to employ the effective wave-function (3.21) to compute expectations values, such as

$$\begin{aligned} \langle \hat{H} \rangle &= \frac{1}{1 + \gamma^2} \left\{ M + \gamma^2 \frac{\mathcal{N}_H^2}{m} \int_M^\infty \left[\frac{(E - M)/m}{\exp\{(E - M)/m\} - 1} \right]^2 E dE \right\} \\ &= \frac{1}{1 + \gamma^2} \left[M + \gamma^2 \mathcal{N}_H^2 \left(M \int_0^\infty G^2(\mathcal{E}) d\mathcal{E} + m \int_0^\infty G^2(\mathcal{E}) \mathcal{E} d\mathcal{E} \right) \right] \\ &= \frac{1}{1 + \gamma^2} \left[M(1 + \gamma^2) + m \gamma^2 \mathcal{N}_H^2 \left(6\zeta(3) - \frac{\pi^4}{15} \right) \right] \\ &= m_p \sqrt{N} \left[1 + \gamma^2 \frac{\mathcal{N}_H^2}{N} \left(6\zeta(3) - \frac{\pi^4}{15} \right) \right] + O(\gamma^4) , \end{aligned} \quad (4.1)$$

where we used $(1 + \gamma^2)^{-1} \simeq 1 - \gamma^2$ and discarded higher powers of the parameter γ (see Appendix C for the integrals).

Since

$$\begin{aligned} \langle \hat{H}^2 \rangle &= \frac{1}{1 + \gamma^2} \left\{ M^2 + \gamma^2 \frac{\mathcal{N}_H^2}{m} \int_M^\infty \left[\frac{(E - M)/m}{\exp\{(E - M)/m\} - 1} \right]^2 E^2 dE \right\} \\ &= \frac{1}{1 + \gamma^2} \left[M^2 + \gamma^2 \mathcal{N}_H^2 \left(M^2 \int_0^\infty G^2(\mathcal{E}) d\mathcal{E} + 2 M m \int_0^\infty G^2(\mathcal{E}) \mathcal{E} d\mathcal{E} + m^2 \int_0^\infty G^2(\mathcal{E}) \mathcal{E}^2 d\mathcal{E} \right) \right] \\ &= m_p^2 N \left[1 + 2 \frac{\gamma^2}{N} \mathcal{N}_H^2 \left(6\zeta(3) - \frac{\pi^4}{15} \right) + \frac{4}{15} \frac{\gamma^2}{N^2} \mathcal{N}_H^2 (\pi^4 - 90\zeta(5)) \right] + O(\gamma^4) , \end{aligned} \quad (4.2)$$

it is easy to obtain the uncertainty

$$\begin{aligned} \Delta E = \sqrt{\langle \hat{H}^2 \rangle - \langle \hat{H} \rangle^2} &= \gamma \frac{m_p}{\sqrt{N}} \mathcal{N}_H \sqrt{\frac{4}{15} (\pi^4 - 90\zeta(5)) - \mathcal{N}_H^2 \left(6\zeta(3) - \frac{\pi^4}{15} \right)^2} + O(\gamma^2) \\ &\simeq 0.76 \gamma \frac{m_p}{\sqrt{N}} . \end{aligned} \quad (4.3)$$

This allows us to recover the large N result [8]

$$\frac{\Delta E}{\langle \hat{H} \rangle} \sim \frac{\gamma}{N} + O(\gamma^2) . \quad (4.4)$$

Adopting a thermodynamical point of view, we can use (4.1) to estimate the partition function of the system according to

$$\langle \hat{H} \rangle = -\frac{\partial}{\partial \beta} \log Z(\beta) , \quad (4.5)$$

where $\beta = T_{\text{H}}^{-1} = m^{-1} \simeq \sqrt{N}/m_{\text{p}}$. We then have

$$\langle \hat{H}(\beta) \rangle = m_{\text{p}}^2 \beta \left(1 + \frac{\mathcal{A} \gamma^2}{m_{\text{p}}^2 \beta^2} \right) = \frac{\partial}{\partial \beta} \left[\frac{1}{2} m_{\text{p}}^2 \beta^2 + \mathcal{A} \gamma^2 \log(k \beta) \right] , \quad (4.6)$$

where $\mathcal{A} = \mathcal{N}_{\text{H}}^2 [6\zeta(3) - \pi^4/15] \simeq 0.81$, and k is an integration constant with the dimensions of a mass. It is then straightforward to obtain

$$\log Z(\beta) = -\frac{1}{2} m_{\text{p}}^2 \beta^2 - \mathcal{A} \gamma^2 \log(\beta k) , \quad (4.7)$$

and, if we simply set $k = m_{\text{p}}$, we see that

$$Z(\beta) = (m_{\text{p}} \beta)^{-\mathcal{A} \gamma^2} e^{-\frac{1}{2} m_{\text{p}}^2 \beta^2} , \quad (4.8)$$

contains two factors. One goes like $1/\beta = T_{\text{H}}$ at some power, like the thermal wave-length of a particle does, and it is given by the contribution of the excited modes to the energy spectrum. This is consistent with the fact that such modes propagate freely, since we did not associate an external potential to our model ¹. The exponential damping factor is instead due to the presence of bound states localised within the classical Schwarzschild radius R_{H} .

It is now possible to compute the statistical canonical entropy

$$S(\beta) = \beta^2 \frac{\partial F(\beta)}{\partial \beta} , \quad (4.9)$$

where $F(\beta)$ is the Helmholtz free energy $F = -(1/\beta) \log Z$. It is straightforward to get

$$S(\beta) = \frac{1}{2} m_{\text{p}}^2 \beta^2 - \mathcal{A} \gamma^2 \log(m_{\text{p}} \beta) + \mathcal{A} \gamma^2 , \quad (4.10)$$

which is nothing but the usual Bekenstein-Hawking formula [2] plus a correction scaling like a logarithm. In fact, we can write $\beta \simeq m^{-1}$ as a function of the Schwarzschild radius,

$$\beta = \frac{R_{\text{H}}}{2 \ell_{\text{p}} m_{\text{p}}} , \quad (4.11)$$

rescale the constant and define the area of the horizon as $A_{\text{H}} = 4 \pi R_{\text{H}}^2$, hence yielding

$$S = \frac{A_{\text{H}}}{4 \ell_{\text{p}}^2} - \frac{\mathcal{A}}{2} \gamma^2 \log \left(\frac{A_{\text{H}}}{16 \pi \ell_{\text{p}}^2} \right) . \quad (4.12)$$

¹In other words, we are approximating the grey-body factors for bosonic Hawking quanta with one.

Let us conclude with a few remarks. First off, the final expression of the entropy depends on the “collective” parameter γ defined in Eq. (3.19), rather than the relative probability γ_1 for each constituent to be a Hawking mode, the latter being in turn determined by the details of the scatterings that occur inside the BEC. One could thus speculate that, even if we were able to detect the Hawking radiation, the details of these interactions would hardly show directly. The fact remains, though, that $\gamma_1 = 0$ implies that also $\gamma = 0$, and the logarithmic correction would therefore switch off if the scatterings inside the BEC were negligible (and the Hawking radiation were absent). Finally, we stress again that, in this corpuscular model, the total number N of bosons is conserved, since both the black hole and its Hawking radiation are made of the same kind of particles. In connection with this, we notice that the specific heat is in our case given by

$$C_V = \frac{\partial \langle \hat{H} \rangle}{\partial T} = -\beta^2 \frac{\partial \langle \hat{H} \rangle}{\partial \beta} \simeq -m_p^2 \beta^2 + \mathcal{A} \gamma^2 , \quad (4.13)$$

which is negative for small γ and large β (or, equivalently, large N), in agreement with the usual properties of the Hawking radiation, but vanishes for $\beta \simeq \gamma/m_p$, that is for $N_c \sim \gamma^2$. If, for simplicity, we assume $1.75 \gamma_1 \sim 1$, so that $\gamma \sim N$ from Eq. (3.19), we thus obtain the specific heat vanishes for $N_c \sim 1$, as one would naively expect the Hawking radiation switches off when there are no more particles to emit. In fact, this result qualitatively agrees with the microcanonical picture of the Hawking evaporation² and with the estimate of the backreaction in the next section.

5 Horizon wave-function and backreaction

We can now investigate the presence of a trapping surface in our quantum state by means of the horizon wave-function formalism. Since the present case is very similar to the ones considered in Ref. [8], we refer the reader to that paper for more details (see also Refs. [15] for a similar picture).

The main idea behind that formalism, is to consider the relation (2.6) that defines the gravitational radius of a spherically symmetric system as an operator equation, that is

$$\hat{r}_H = 2 \ell_p \frac{\hat{H}}{m_p} . \quad (5.1)$$

Note that the classical gravitational radius $r_H = r_H(r) = 2 \ell_p M(r)/m_p$ can be introduced for any spherically symmetric system with mass function $M = M(r)$ [16], and it does not necessarily represent the size of a horizon. In fact, it only represents the possible location of a trapping surface if $r_H(r) = r$ for some value of the areal coordinate r . The above definition allows one to introduce a wave-function for the gravitational radius simply given by

$$\Psi_H(r_H) \simeq C(m_p r_H / 2 \ell_p) , \quad (5.2)$$

where C is precisely the spectral coefficient we computed in the previous section, and the normalisation will be fixed assuming the Schrödinger scalar product

$$\langle \Psi_H | \Phi_H \rangle = 4 \pi \int_0^\infty \Psi_H^*(r_H) \Phi_H(r_H) r_H^2 dr_H . \quad (5.3)$$

²For the details, see Refs. [17] and references therein, where the black hole and its Hawking radiation were also assumed to be of the same nature, to wit strings.

It is important to recall that the main result in Ref. [8] was that the gravitational radius associated with the quantum N -particle states considered therein indeed has a size very close to the expected classical value R_H , with quantum fluctuations that die out for increasing N , namely

$$\langle \hat{r}_H \rangle \simeq R_H + O(N^{-1/2}) , \quad (5.4)$$

as one should expect in the context of semiclassical gravity. Since the source represented by such quantum states is by construction (mostly) localised within R_H , this shows that $r = \langle \hat{r}_H \rangle$ is a horizon for the system.

Given the spectral coefficients (3.20), the corresponding horizon wave-function reads

$$|\Psi_H\rangle = \frac{|R_H\rangle + \gamma |\psi_H\rangle}{\sqrt{1 + \gamma^2}} , \quad (5.5)$$

where the state $|R_H\rangle$ represents the discrete part of the spectrum and is defined so that

$$\langle R_H | \hat{r}_H | R_H \rangle = R_H , \quad (5.6)$$

and the states

$$\psi_H(r_H > R_H) \equiv \langle r_H | \psi_H \rangle = \mathcal{N}_H \sqrt{\frac{N}{4\pi R_H^3}} \frac{(r_H - R_H)/(2\ell_p m/m_p)}{\exp\left\{\frac{r_H - R_H}{2\ell_p m/m_p}\right\} - 1} , \quad (5.7)$$

which describe the contribution from the excited Hawking modes. As usual $\Psi(r_H \leq R_H) \simeq 0$ and the normalization is fixed by the scalar product (5.3). The expectation value of the gravitational radius is now easily calculated,

$$\begin{aligned} \langle \hat{r}_H \rangle &= 4\pi \int_{R_H}^{\infty} |\Psi_H(r_H)|^2 r_H^3 dr_H \\ &= \frac{1}{1 + \gamma^2} \left\{ R_H + \gamma^2 \mathcal{N}_H^2 \frac{R_H}{N^3} \int_0^{\infty} \left(\frac{\rho}{e^\rho - 1} \right)^2 (\rho + N)^3 d\rho \right\} \\ &= R_H \left[1 + \frac{\gamma^2}{1 + \gamma^2} \frac{3\mathcal{N}_H^2}{N} \int_0^{\infty} \left(\frac{\rho}{e^\rho - 1} \right)^2 \rho d\rho \right] + O\left(\frac{1}{N^2}\right) \\ &= R_H \left[1 + \frac{3\gamma^2}{N} \mathcal{N}_H^2 \left(6\zeta(3) - \frac{\pi^4}{15} \right) \right] + O(\gamma^4) , \end{aligned} \quad (5.8)$$

where we defined

$$\rho = \frac{r_H - R_H}{2\ell_p m/m_p} \quad (5.9)$$

together with the relation (2.6). We thus see that

$$\langle \hat{r}_H \rangle - R_H \simeq 3\gamma^2 \frac{R_H}{N} + O\left(\frac{1}{N^2}\right) . \quad (5.10)$$

In the same way we can compute

$$\langle \hat{r}_H^2 \rangle = R_H^2 \left[1 + 4\gamma^2 \mathcal{N}_H^2 \left(6\zeta(3) - \frac{\pi^4}{15} \right) \frac{1}{N} \right] + O\left(\frac{1}{N^2}\right) , \quad (5.11)$$

which leads to

$$\Delta r_{\text{H}} = \sqrt{|\langle \hat{r}_{\text{H}}^2 \rangle - \langle \hat{r}_{\text{H}} \rangle^2|} = R_{\text{H}} \frac{\gamma \mathcal{N}_{\text{H}}}{\sqrt{N}} \sqrt{2 \left(6\zeta(3) - \frac{\pi^4}{15} \right) + O\left(\frac{1}{N^2}\right)} \quad (5.12)$$

and, omitting a factor of order one,

$$\frac{\Delta r_{\text{H}}}{\langle \hat{r}_{\text{H}} \rangle} \sim \frac{\gamma}{N} + O(\gamma^2) , \quad (5.13)$$

like we obtained in Ref. [8].

We can now note that $\langle \hat{r}_{\text{H}} \rangle > R_{\text{H}}$, albeit by a small amount for large N , which is in agreement with the fact that Hawking quanta must also contribute to the total gravitational radius. The constituents will therefore be bound within a sphere of radius slightly larger than the pure BEC value R_{H} , and the typical energy of the reciprocal $2 \rightarrow 2$ scatterings will correspondingly be smaller. This gives rise to a slightly smaller depletion rate, namely

$$\Gamma \sim \frac{1}{\langle \hat{r}_{\text{H}} \rangle} \simeq \frac{1}{\sqrt{N} \ell_{\text{p}}} \left[1 - \frac{3\gamma^2}{N} \mathcal{N}_{\text{H}}^2 \left(6\zeta(3) - \frac{\pi^4}{15} \right) \right] , \quad (5.14)$$

Note that, if we were to trust the above relation for small N , the flux would stop for a number of quanta

$$N_c \simeq 3\gamma^2 \mathcal{N}_{\text{H}}^2 \left(6\zeta(3) - \frac{\pi^4}{15} \right) \simeq 2.4\gamma^2 . \quad (5.15)$$

If we further recall the relation (3.19), and again assume for simplicity $1.75\gamma_1 \lesssim 1$, so that $\gamma \lesssim N$, we obtain $N_c \gtrsim 1$, which leaves open the possibility that the flux stops for a finite number of constituents. Of course, it is not guaranteed the above approximations still hold for such small values of N , but we recall that it is a general result of the microcanonical description of the Hawking radiation that the emitted flux vanishes for vanishingly small black hole mass [17]. Furthermore, this behaviour would be in agreement with the thermodynamical analysis of the previous section, and the vanishing of the specific heat for $N = N_c \sim 1$. In any case, N_c depends on the collective parameter γ , although the latter is more directly related with the single-particle γ_1 for small N .

6 Conclusions and outlook

We have analysed a candidate quantum state to represent an evaporating black hole made of N toy scalar gravitons, along the lines of the BEC picture put forward in Refs. [1], and improving on the cases previously considered in Ref. [8]. In particular, we found that a Planckian distribution at the Hawking temperature for the depleted modes allows one to recover the main known features of the Hawking radiation, like the Bekenstein-Hawking entropy, the negative specific heat and Hawking flux, for large black hole mass, or, equivalently, large N .

Moreover, one also obtains that the above N -particle state can be collectively described very reliably by a one-particle wave-function in energy space. From this approximate description, we obtained leading order corrections to the energy of the system that give rise to a logarithmic contribution to the entropy. This contribution would ensure a vanishing specific heat for N of order one, when we expect the evaporation stops. In fact, this qualitative result was further supported by

estimating the backreaction of the Hawking radiation onto the horizon size by means of the horizon wave-function of the system. Since the Hawking flux depends on the energy scale of the BEC [1], which is in turn simply connected to the horizon radius, the extra contribution to the latter by the depleted scalars precisely reduces the emission. Upon extrapolating the picture down to small values of N , this reduction should eventually stop the Hawking radiation completely. We think it is important to emphasise that this is exactly what one expects from the conservation of the total energy of the black hole system [17].

We would like to conclude by remarking that the analysis presented here does not explicitly consider the time evolution of the system or, in other words, it provides a possible picture of the black hole and its Hawking radiation at a given instant in time. One could however conjecture that, as long as the Hawking flux is relatively small, a reliable approximation of the time evolution will be simply given by the standard equation (2.10) for large mass and N , or by the correspondingly modified expression that follows from Eq. (5.14) for N approaching one. Our analysis however does not make use of any specific determination of the coefficients γ_1 and γ in terms of the details of the scatterings that give rise to the Hawking radiation (and we therefore assumed no dependence of these parameters from the number N). A very interesting attempt at understanding the Hawking radiation directly from the two- and three-body decays inside a BEC recently appeared [9], where however no general relativistic effect is included. The estimate for the flux we provide in section 5 could therefore be viewed as complementary to that in Ref. [9], and both approaches in fact agree in predicting a vanishing decay rate for vanishing number of constituents. Moreover, we suspect that, for very small N , the BEC model could reduce to the description of black holes as single particle states investigated in Refs. [4, 14] and [18]. A detailed analysis of the possible transition between the two regimes is left for future investigations.

Acknowledgments

This work is supported in part by grant INFN-FLAG.

A Boltzmann distribution

It is easy to see that the Boltzmann distribution considered in Ref. [8] can only be employed in the perturbative limit $\gamma_1 \ll 1$. In fact, if one replaces the Planckian distribution

$$G(\mathcal{E}) \rightarrow e^{-\mathcal{E}} \quad (\text{A.1})$$

in Eq. (3.4), and expands the corresponding $C(E > M)$ in powers of γ_1 like in Eq. (3.15), the analogous coefficients C_n will diverge when $n \geq 2$, namely

$$\begin{aligned} C_n &\propto \int_0^\infty d\mathcal{E}_1 e^{-\mathcal{E}_1} \int_0^\infty d\mathcal{E}_2 e^{-\mathcal{E}_2} \times \dots \times \int_0^\infty d\mathcal{E}_n e^{-\mathcal{E}_n} \delta\left(\mathcal{E} - \sum_{i=1}^n \mathcal{E}_i\right) \\ &= e^{-\mathcal{E}} \prod_{i=1}^{n-1} \int_0^\infty d\mathcal{E}_i \rightarrow \infty . \end{aligned} \quad (\text{A.2})$$

It then follows that, for $\gamma_1 \simeq 1$, and the probability for each constituent to be a Hawking mode is not small, the Boltzmann approximation should indeed be replaced by the Planckian distribution employed in this work.

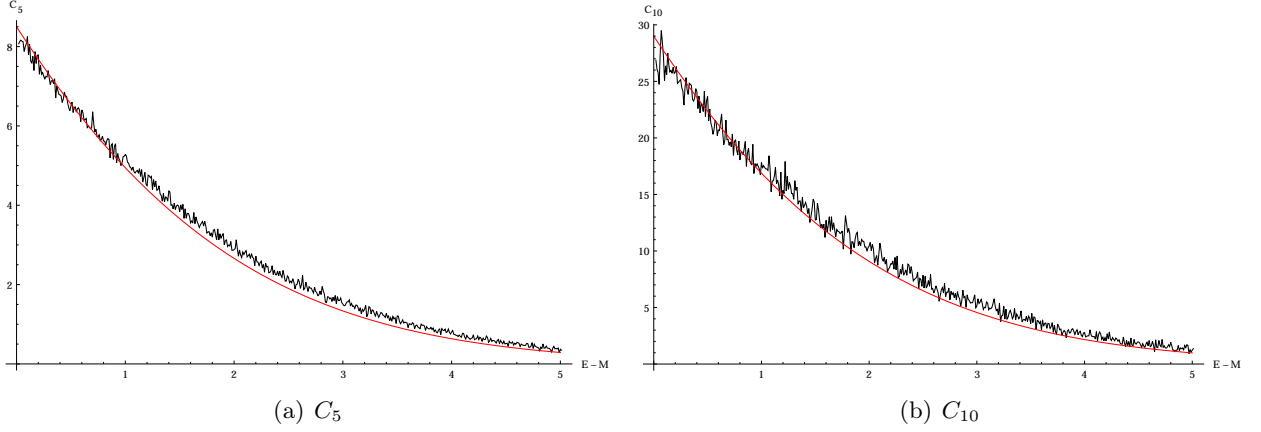


Figure 1: Numerical estimate of some coefficients in the expansion (3.15) (black lines), and their analytical approximation (red lines), for $N = 10$ (energies are in Planck units).

B Monte Carlo estimate of spectral coefficients

We have checked the analytical approximation (3.20) by computing directly some of the spectral coefficients (3.15) by means of a Monte Carlo algorithm. Fig. 1 shows the numerical estimates of $C_5 = C_5(E - M)$ and $C_{10} = C_{10}(E - M)$ along with their analytical approximation for $N = 10$. This comparison immediately shows that the coefficients C_n indeed have a “Planckian” shape, in agreement with their analytical approximation. Finally, Fig. 2 shows the whole coefficient $C(E > M)$ for $N = 10$. The same quantities for larger values of N , up to $N = 100$ have also been computed

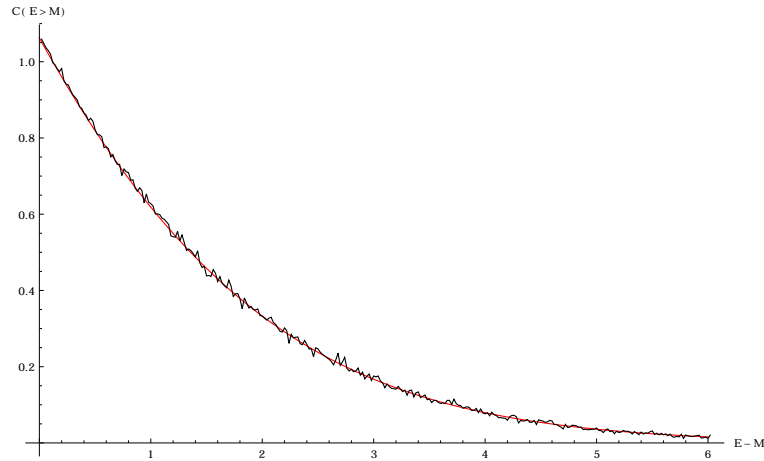


Figure 2: Numerical estimate of $C(E > M)$ (black line), and its analytical approximation (3.20) (red line) for $N = 10$ (energies are in Planck units).

and the results are qualitatively the same.

C Useful integrals

In this work we made use of the following results:

$$\int_0^\infty \left(\frac{x}{e^x - 1} \right)^2 dx = \frac{1}{3} [\pi^2 - 6\zeta(3)] , \quad (\text{C.1})$$

$$\int_0^\infty \left(\frac{x}{e^x - 1} \right)^2 x dx = 6\zeta(3) - \frac{\pi^4}{15} , \quad (\text{C.2})$$

$$\int_0^\infty \left(\frac{x}{e^x - 1} \right)^2 x^2 dx = \frac{4}{15} [\pi^4 - 90\zeta(5)] . \quad (\text{C.3})$$

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